



NANOSCALE SCIENCE AND ENGINEERING

Clark V. Cooper, Ph.D.
Program Director
Materials Design and Surface Engineering
National Science Foundation

www.nsf.gov



U.S. President

Office of
Management
and Budget

Science Advisor
Office of Science and
Technology Policy

Other boards,
councils, etc.

Major Departments

Agriculture

Health and
Human Services

Interior

Transportation

Defense

Energy

Commerce

Independent Agencies



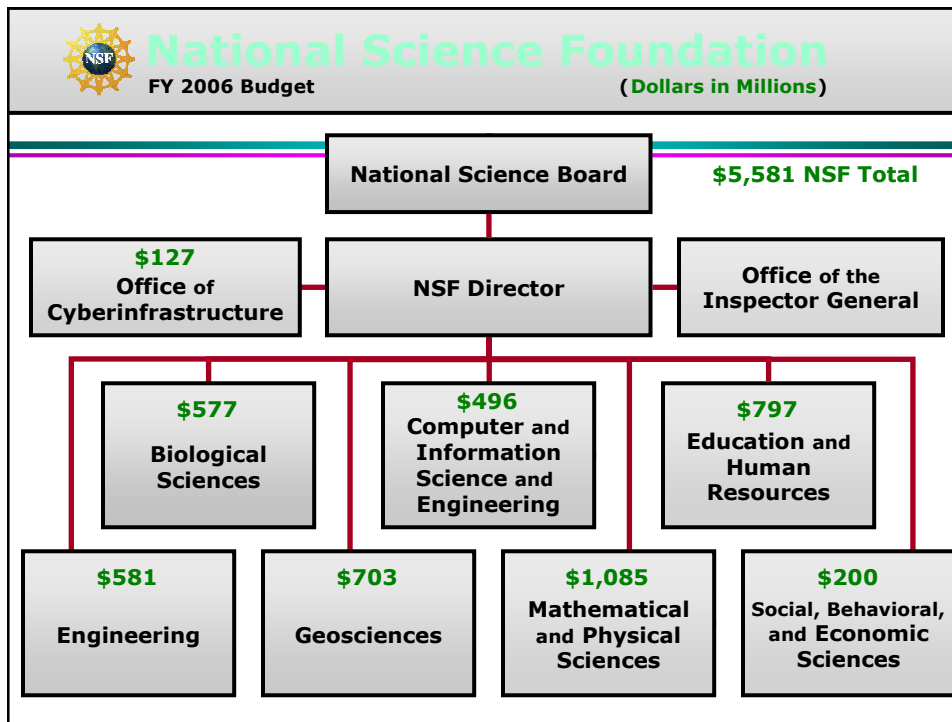
National
Aeronautic
and Space
Administration


Environmental
Protection
Agency

Smithsonian
Institution

Nuclear
Regulatory
Commission

Other
agencies



 **Some examples of advances initiated by NSF funding**

- Computer-aided design (CAD)
- Microelectromechanical systems (MEMS)
- Fiber optics
- Tissue engineering
- Doppler radar
- The Internet
- MRI/NMR
- Thin films; electronic materials



Nanotechnology

Definition on www.nano.gov/omb_nifty50.htm (2000)



- **Working at the atomic, molecular and supramolecular levels, in the length scale of approximately 1 – 100 nm range, in order to understand, create and use materials, devices and systems with fundamentally new properties and functions because of their small structure**
- **NNI definition encourages new contributions that were not possible before.**
 - novel phenomena, properties and functions at nanoscale, which are nonscalable outside of the nm domain
 - the ability to measure / control / manipulate matter at the nanoscale in order to change those properties and functions
 - integration along length scales, and fields of application



First NNI strategic plan (2001-2005): R&D funding by Agency

<i>Fiscal year</i> (all in million \$)	2000 Actual	2001 Enact/Actual	2002 Enact/Actual	2003 Enact/Actual	2004 Req./Actual	2005 Req/Est.
National Science Foundation	97	150 /150	199 /204	221 /221	249 /256	305/338
Department of Defense	70	110 /125	180 /224	243 /322	222 /291	276/257
Department of Energy	58	93 /88	91.1 /89	133 /134	197 /202	211/210
Health and Human Services	32	39 /39.6	40.8 /59	65 /78	70 /108	89/145
NASA	5	20 /22	35 /35	33 /36	31 /47	35/45
NIST	8	10 /33.4	37.6 /77	66 /64	62 /77	53/75
EPA	-	/5.8	5 /6	5 /5	5 /5	5/5
Homeland Security (TSA)	-		2 /2	2 /1	2 /1	1/1
Department of Agriculture	-	/1.5	1.5 /0	1 /1	10 /2	5/3
Department of Justice	-	/1.4	1.4 /1	1.4 /1	1.4 /2	1/2
Congressional ads-ons at DOD				80	103	150
TOTAL	270	422 /465	600 /697	770 /942	849 /1094	982/1231
		+72%	+50%	+35%	+16%	+ 13%
<ul style="list-style-type: none"> - Industry, state and local organizations: about 1.5 times NNI budget in 2003 - 22 NSET departments / agencies, including: OSTP, NSTC, OMB, DOC, DOS, DOT, DOTreas, FDA, NRC, DHS, IC, NIOSH, USPTO; partnerships with others - 2004 NNI budget: 65% to academia; 25% - R&D labs; 10% - industry (7% SBIR) 						

MC Roco



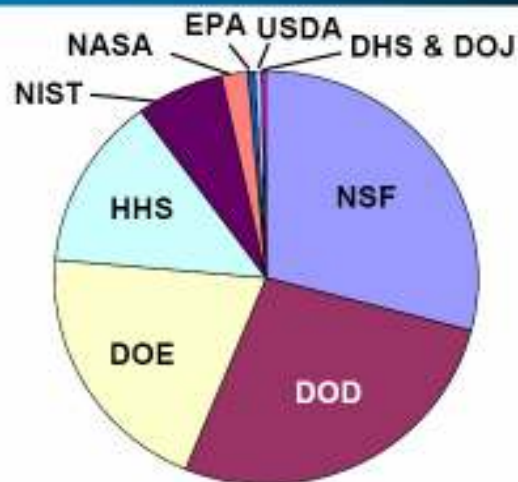
Areas of investment in FY2006 (Program Component Areas)

1. Fundamental Nanoscale Phenomena and Processes
2. Nanomaterials
3. Nanoscale Devices and Systems
4. Instrumentation Research, Metrology, and Standards for Nanotechnology
5. Nanomanufacturing
6. Major Research Facilities and Instrumentation Acquisition
7. Societal Dimensions



NNI FY 2007 Budget Request

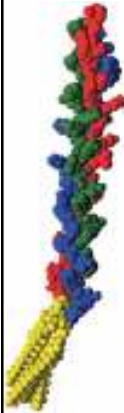
Total = \$1,277 million



MC Roco, 12/04/06



National Nanotechnology Initiative at NSF



Designed molecule
for selfassembling
(UCSB)

- Coordination with other 24 agencies in the NNI (WH priority, NSTC/NSET subcommittee, OMB cross-cut, several working groups, and joint R&D activities)
- Nanotechnology is a priority element of the American Competitiveness Initiative (ACI)
- New research priorities (change focus from passive nanostructures to active nanostructures and nanosystems)
- Supports a strong infrastructure through 24 large centers, networks and user facilities, as well as research equipment
- Interaction with industry (with electronic, chemical and other industry sectors, small business support, private sector – academic partnerships)
- International collaboration (International Dialogue, OECD, bilateral agreements, workshops, awards)

MC Roco, 12/04/06

NSE: Role of Engineering

Engineering has a leading role in NSE because:

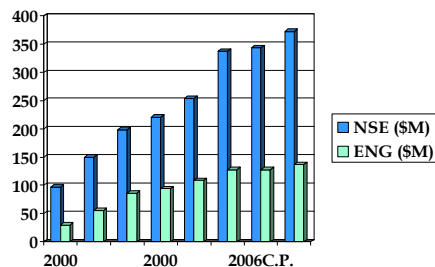
- nanotechnology deals with systems at nanoscale
- integrative, interdisciplinary
- transforming tool

Collaboration with NSF Directorates: MPS, CISE, BIO, GEO, SBE, HER

Also, NNI - 24 departments and agencies (DOE, DOD, NASA, NIH, NIST, EPA, etc.)

Changing engineering disciplines (research, education, relevance)

Fiscal Year	NSF	ENG
2000	\$97M	\$30.0M
2001	\$150M	\$55.3M
2002	\$199M	\$86.3M
2003	\$221M	\$94.4M
2004	\$254M	\$108.9M
2005	\$338M	\$127.8M
C.P. 2006	\$344M	\$127.8M
R. 2007	\$373M	\$137.2M





Nanoscale Science and Engineering program (FY 2001 - FY 2005)

- **FY 2001-2005 budget: \$1,162M, of which \$368M for solicitations (\$344M for NSE & \$24M for NSEE)**
 - **Outcomes of the NSE solicitation**
(8 research themes, 3 modes of support)
4083 proposals (with submission limits), 647 awards,
 - **Nanoscale Interdisciplinary Research Teams**
259 awards (\$61.5M) (2128 proposals, Limit 4 / university)
 - **Nanoscale Science and Engineering Centers**
16 centers for 5 yr (205 proposals, 1 / university)
 - **Nanoscale Exploratory Research**
373 awards (\$7.9M) (1813 proposals, Limit 2 / univ.)
- Success rate NSE (awards / proposals):**
- No. proposals: NIRT – 12%, NSEC – 8%, NER – 20%
(< NSF success rate despite of limitation # proposals / university)



Nanoscale Science and Engineering support at NSF in FY 2006

The budget Request to Congress: \$342M

- **Program solicitations (about \$45M, about 1/8)**
 - Active Nanostructures and Nanosystems (ENG and SBE) \$42M
 - Nanotechnology Undergraduate Education (ENG and EHR) \$3M
- **Support in the core program (about 7/8)
with focus on single investigator & other core**

Various research and education programs in all directorates
Interdisciplinary fellowships; STC, MRSEC and ERC centers
Instrumentation (REG, MRI); Collaboration industry (GOALI, PFI)
Network for Computational Nanotechnology (\$2.8M/yr)
National Nanotechnology Infrastructure Network (\$14M/yr)
Nanoscale Informal Science and Education (NSF 03-511)
- **SBIR/STTR (additional ~ \$10M)**

MC, Roco, 8/30/05



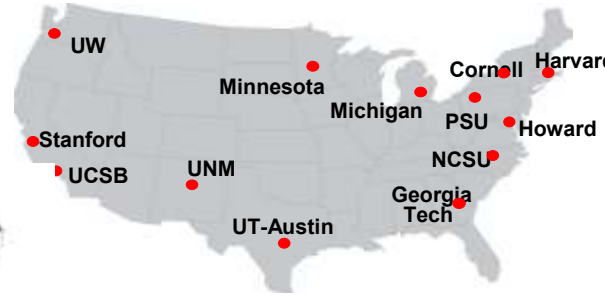
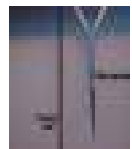
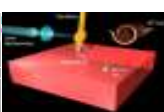
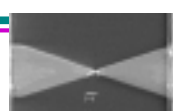
NSF Program Emphasis in FY 2007

Increased investments will be dedicated to research and education on:

- Increased focus on complex large nanosystems. Research on nanoscale devices and system architecture, dynamic and emerging behavior, and their respective fabrication, will be emphasized
- Increased focused on three-dimensional measurements of domains of engineering relevance with good time resolution
- Converging science, engineering and technology from the nanoscale, by integrating nanosystems into applications (in manufacturing, information systems, medicine, environment, etc.)
- Expanded joint research program addressing potential implications of nanotechnology with NIOSH, EPA and FDA, USDA and NIST
- Earlier educational programs and teaching materials, including for K-12, by using remote access to NSF educational networks (NU, NISE, NNIN)
- Expand partnerships of academic researchers with industry, medical facilities and states through two programs (GOALI, PFI), using the CBAN (Collaborative Board for Advancing Nanotechnology)



National Nanotechnology Infrastructure Network (NNIN)



Cornell U (Lead)
Stanford U
U Michigan
Georgia Tech
U Washington
Penn State U
UC Santa Barbara
U Minnesota
U New Mexico
U Texas -Austin
Harvard U
Howard U
No. Carolina State U

*An integrated national network of user facilities
providing researchers open access to resources, instrumentation and
expertise in all domains of nanoscale science, engineering and technology*

<http://www.NNIN.org>



ENVIRONMENTAL, HEALTH, AND SAFETY RESEARCH NEEDS (NNI, Sept. 21, 2006)

Purpose of the document:

is to identify for the Federal Government environmental, health, and safety (EHS) research and information needs related to understanding and management of potential risks of engineered nanoscale materials

Key topics:

Instrumentation, Metrology, and Analytical Methods

Nanomaterials and Human Health

Nanomaterials and the Environment

Health and Environmental Surveillance

Risk Management Methods

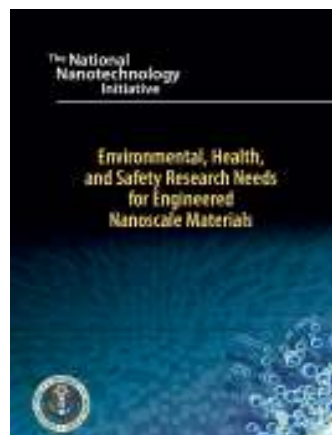
Risk Management Approaches

Reducing Exposure in the Workplace

Minimizing Environmental Exposure and Hazard

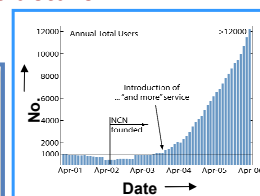
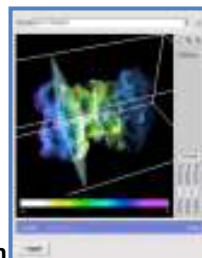
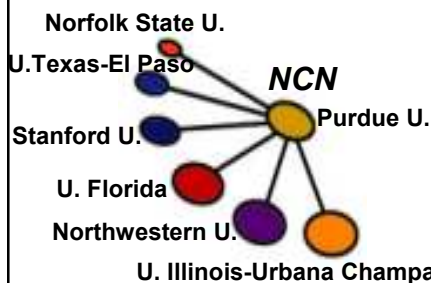
Life Cycle Assessment

Risk Communication Methods



Network for Computational Nanotechnology (NCN)

A National resource to accelerate the transformation of nanoscience to nanotechnology through theory, modeling, and simulation and collaboration enabled by cyberinfrastructure



NSF Infrastructure and Research Network

<http://www.nanoHUB.org>

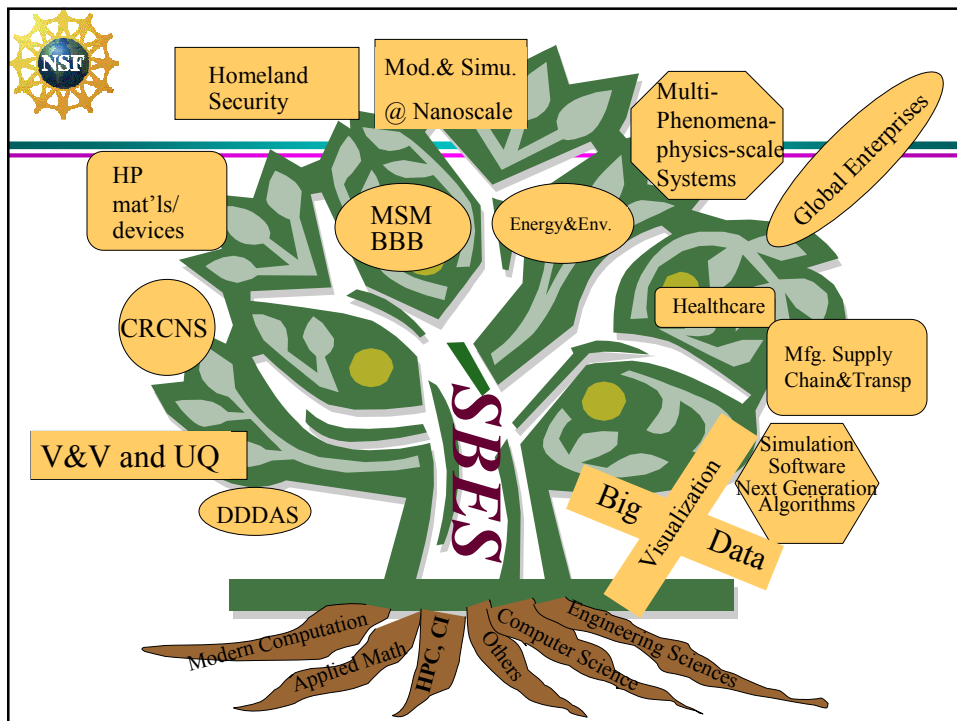


Infrastructure Outcomes of 2001-2005: NSF R&D Networks and User Facilities

- **Network for Computational Nanotechnology (NCN)**
Seven (7) universities (Purdue as the central node)
Nanoelectronic device simulation/modeling
- **National Nanotechnology Infrastructure Network (NNIN)**
13 universities with user facility
Development measuring & manufacturing tools, including NEPM
Education and societal implications
- **Oklahoma Nano Net (EPSCoR award)**

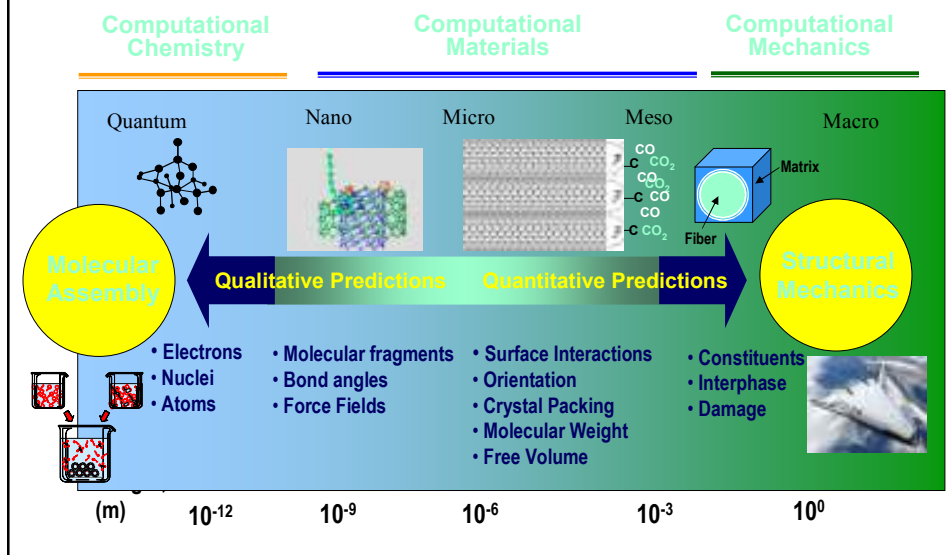
Centers:

- 16 Nanoscale Science and Engineering (NSEC) - 6 (2001); 2 (2003); 6 (2004); 2 (2005)
- 1 Nanotechnology Center for Learning and Teaching (NCLT)
- 6 new Materials Research Science and Engineering Centers (MRSEC)





NASA Langley Research Center Nanotechnology Modeling and Simulation



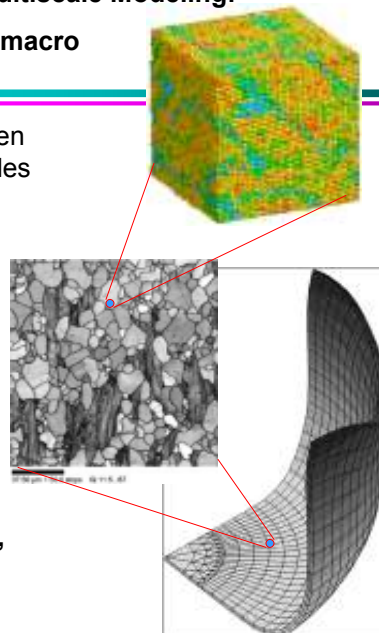
Overarching Framework for Multiscale Modeling: atomistic → micro → meso → macro

One Key: interfaces/exchanges between models at different length and time scales

Questions:

- What *information* needs to be transferred from one model segment to another?
- What are the *correct* and *most effective* ways to achieve such transfer of information?
- What physical principles must be satisfied during the transfer of information or simulation results?

Need a set of logical, mathematical, and physical rules to govern information transfer across the interfaces



Defining the vision for the second strategic plan (II) National Nanotechnology Initiative

2004

2004:
10-year
vision/plan

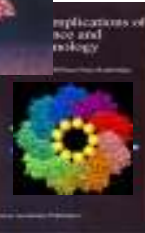


Agriculture
and Food



Energy

Societal
Implications
2004



Government
Plan (annual)



Survey
manufacturing

Reports



Other topical reports
on www.nano.gov

2004: Update 10 year vision, and develop strategic plan

MC Roco, 3/16/05

Infrastructure Outcomes of 2001-2005: NSF R&D Networks and User Facilities

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7 universities (Purdue as the central node)
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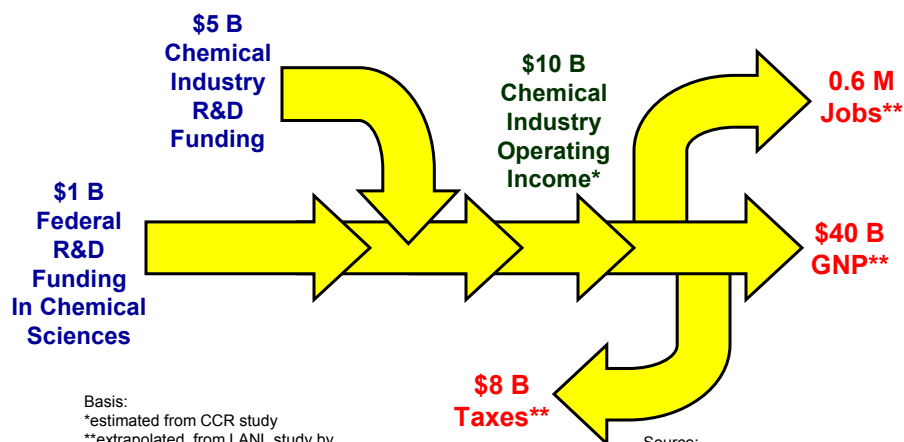
1 Nanotechnology Center for Learning and Teaching (NCLT)

6 new Materials Research Science and Engineering Centers (MRSEC)



Impact of Federal Investment in Basic Research

Macroeconomic Implications



Basis:
*estimated from CCR study
**extrapolated from LANL study by
Thayer et al., April 2005, using
REMI economic model

Source:
*Measure for Measure: Chemical
R&D Powers the U.S. Innovation
Engine*, p. 8, 2005, The Council for
Chemical Research



Chong, K. P., "Nanoscience and Engineering in Mechanics and Materials", *J. Phys. Chem. Solids*, 65(2004) 1501-1506 (Elsevier)
[No. 1 on *ScienceDirect* TOP25 Hottest Articles]

NSF SUMMER INSTITUTE ON NANO
MECHANICS & MATERIALS

<http://tam.northwestern.edu/summerinstitute/Home.htm>



DISCLAIMER

The author would like to thank his colleagues and many members of the research community for their comments and input during the preparation of this presentation.

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