

# Advanced experimental approaches and applications

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### Major nanolithography

|                    |                    | Process                                                   | Wave length | Resolution   | Throughput |
|--------------------|--------------------|-----------------------------------------------------------|-------------|--------------|------------|
| UV                 |                    | Parallel                                                  | ~ 400 nm    | 2 μm         | Excellent  |
| Deep UV            |                    | Parallel                                                  | 248 nm      | 0.5 μm       | Excellent  |
| Extreme UV ✓       |                    | Parallel                                                  | 13.4 nm     | 50 nm (30nm) | Excellent  |
| E-beam lithography |                    | Serial                                                    | < 1 nm      | 10 nm        | Fair       |
| SPM                | STM                | Serial                                                    | N/A         | 0.1 nm       | Fair       |
|                    | Dip-pen            | Serial                                                    | N/A         | 50~100 nm    | Fair       |
| Soft litho         | μ-contact printing | Parallel                                                  | N/A         | 300 nm       | Very good  |
|                    | NIL ✓              | Parallel                                                  | N/A         | 100(10 nm)   | Very good  |
| X-ray              |                    | Parallel                                                  | < 1 nm      | 100 (20 nm)  | Very good  |
| ?                  |                    | High resolution, high throughput lithography is required. |             |              |            |

Low cost, high throughput nanomanufacturing is essential for biochips.



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## Shadow Effect

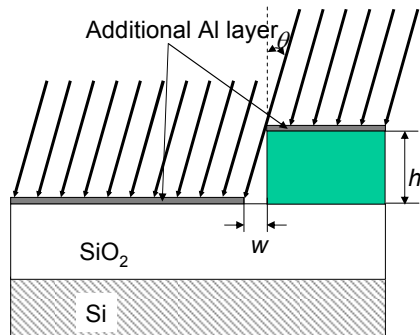


Electron beam evaporator  
Washington technology center

▪“line-of-sight” deposition in high-vacuum evaporation.

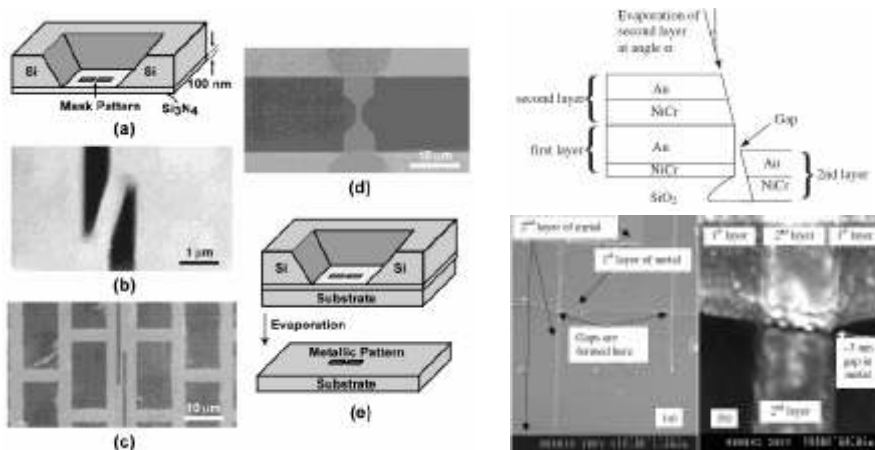
▪When the deposition angle is  $\alpha$  and the thickness the pre-patterned layer is  $h$ , the nanogap width is

$$w = h \tan \alpha$$



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## Shadow effect for nanopatterning



Patterning using nanoscale stencil

Nanogap creation

Zhou YX, et al., *Nano Letters*, 2003

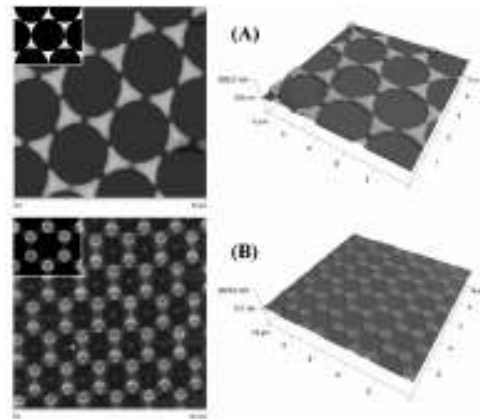
Sun LF, et al, *Nanotechn.*, 2005



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## Shadow effect for nanopatterning (cont'd)



Simulation and experiment

Shadow nanosphere lithography

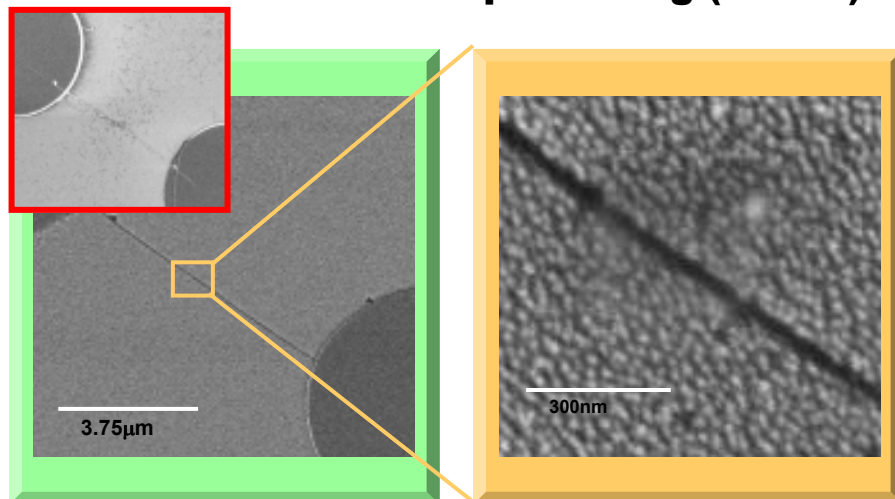
Kosiorrek A, et al., *NANO LETTERS*, 2004.



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## Shadow effect for nanopatterning (cont'd)



Nanotube assembly-> pattern reversal-> nanogap

Chung J et al., *Nano Letters*, 2003



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## Lithography using the Shadow Effect?

### Pros

- It is demonstrated that a few nanometer scale is working (High resolution).
- Evaporation is performed for a whole wafer (High throughput).
- Simulation matches experiment quite well.
- Depending on angle and prepatterned shapes, various patterns can be conceivable.

### Cons

- Theoretical model is not established.
- Wafer scale fabrication is yet to be shown.
- Geometrical variation across a wafer was not considered.
- The prepatterned layers or particles have defects.

### PROPOSE Shadow edge lithography

- Prepatterned Al layer will be used to create nanoscale patterns.

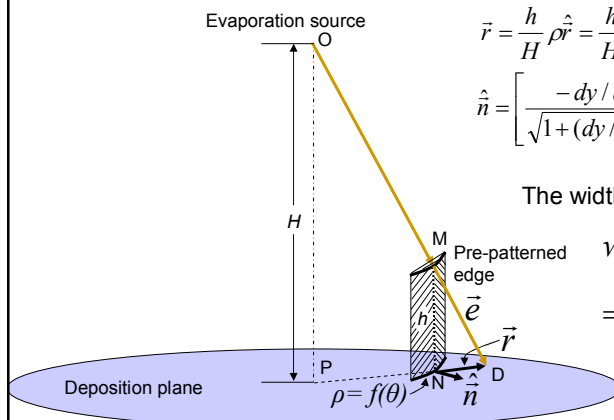


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## Shadow width; Point Source

A shadow edge on the deposition plane with an arbitrary shape can be expressed by:

$$\rho = f(\theta) \quad \text{In the corresponding Cartesian coordinates:} \quad \begin{aligned} x &= f(\theta) \cos \theta \\ y &= f(\theta) \sin \theta \end{aligned}$$



$$\vec{r} = \frac{h}{H} \hat{\rho} = \frac{h}{H} f(\theta) (\cos \theta, \sin \theta)$$

$$\hat{n} = \left[ \frac{-dy/dx}{\sqrt{1+(dy/dx)^2}}, \frac{1}{\sqrt{1+(dy/dx)^2}} \right]$$

The width of nanogap is defined by:

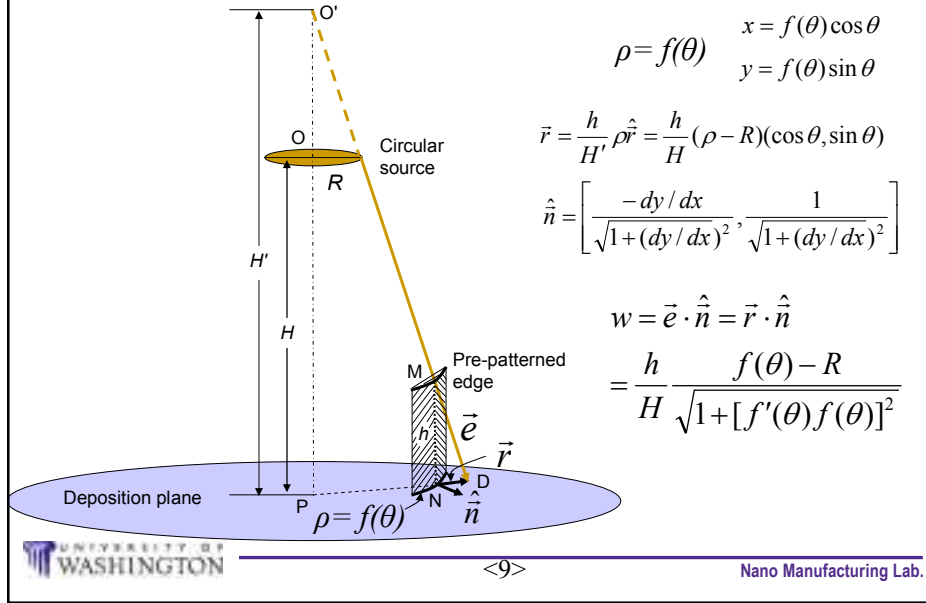
$$\begin{aligned} w &= \vec{e} \cdot \hat{n} = \vec{r} \cdot \hat{n} \\ &= \frac{h}{H} \frac{f(\theta)}{\sqrt{1+[f'(\theta)/f(\theta)]^2}} \end{aligned}$$



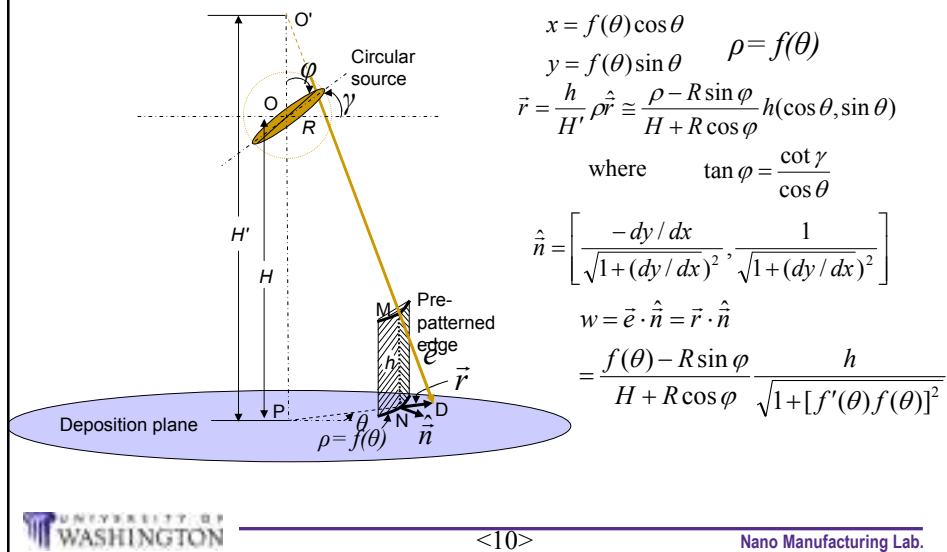
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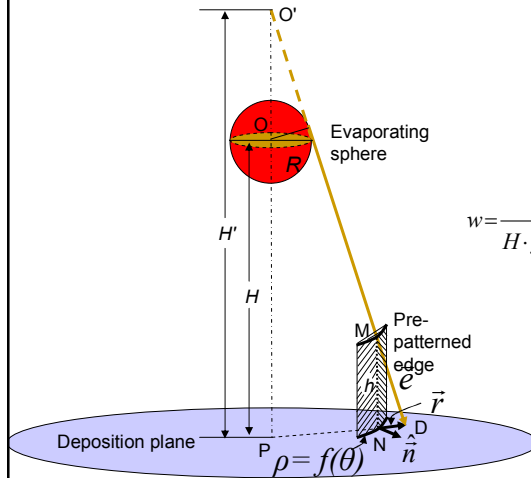
## Shadow width; Parallel, Circular Source



## Shadow width, Non-Parallel, Circular Source



## Shadow width; Spherical Source



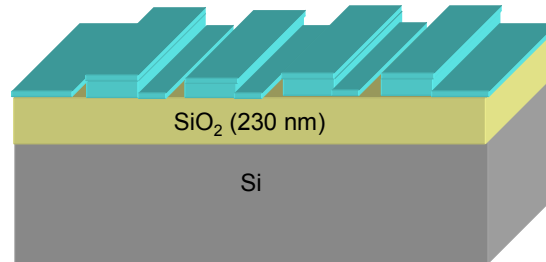
$$w = \frac{h}{H \cdot f(\theta) + R \sqrt{H^2 - R^2 + f^2(\theta)}} \frac{f^2(\theta) - R^2}{\sqrt{1 + [f'(\theta)/f(\theta)]^2}}$$

## Summary of Shadow width

The shadow width of the pre-patterned edges with different shapes on a deposition plane

| Evaporation sources (with a radius $R$ and a deposition height $H$ ) | Shapes of shadow edge (with a uniform height $h$ )                                                                                                               |                                                                                                             |                                                                               |
|----------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
|                                                                      | Arbitrary shape: $\rho = f(\theta)$                                                                                                                              | Straight line: $\rho = \frac{\rho_0}{\cos \theta}$                                                          | Center circle: $\rho = \rho_0$                                                |
| Spherical source                                                     | $w = \frac{h}{H \cdot f(\theta) + R \sqrt{H^2 - R^2 + f^2(\theta)}} \frac{f^2(\theta) - R^2}{\sqrt{1 + [f'(\theta)/f(\theta)]^2}}$                               | $w = \frac{\rho_0^2 - R^2 \cos^2 \theta}{H \cdot \rho_0 + R \sqrt{(H^2 - R^2) \cos^2 \theta + \rho_0^2}} h$ | $w = \frac{\rho_0^2 - R^2}{H \cdot \rho_0 + R \sqrt{H^2 - R^2 + \rho_0^2}} h$ |
| Circular source (tilted case)                                        | $w = \frac{h}{H + R \cos \varphi} \frac{f(\theta) - R \sin \varphi}{\sqrt{1 + [f'(\theta)/f(\theta)]^2}}$<br>(where $\tan \varphi = \cot \gamma / \cos \theta$ ) | $w = \frac{\rho_0 - R \sin \varphi \cos \theta}{H + R \cos \varphi} h$                                      | $w = \frac{\rho_0 - R \sin \varphi}{H + R \cos \varphi} h$                    |
| Circular source (parallel case)                                      | $w = \frac{h}{H} \frac{f(\theta) - R}{\sqrt{1 + [f'(\theta)/f(\theta)]^2}}$                                                                                      | $w = \frac{\rho_0 - R \cos \theta}{H} h$                                                                    | $w = \frac{\rho_0 - R}{H} h$                                                  |
| Point source ( $R = 0$ )                                             | $w = \frac{h}{H} \frac{f(\theta)}{\sqrt{1 + [f'(\theta)/f(\theta)]^2}}$                                                                                          | $w = \frac{\rho_0}{H} h$                                                                                    | $w = \frac{\rho_0}{H} h$                                                      |

## Nanogap fabrication steps



Si wafer- Oxidation- Al conformal evaporation-Lithography –Al non conformal evaporation- **Shadow gap**

**Why Al?** Good for evaporation and etching  
 Good for masking layer to reactive ion etching  
 Easy height variation  
 Easy selective etching- pattern reversal is enabled

## Experimental—2<sup>nd</sup> Al deposition

1<sup>st</sup> Al deposition:

Conformal deposition: uniform Al height.

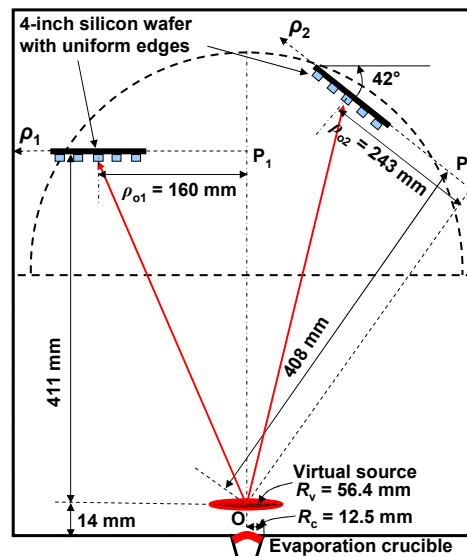
2<sup>nd</sup> Al deposition:

Nonconformal deposition at fixed angles.

85, 120, and -t, -p

85, 120, 180- thickness (nm)

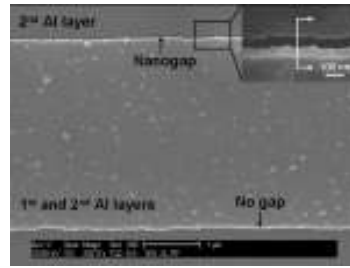
t: tilted, p: parallel



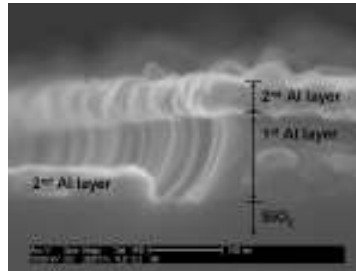
## Shadow gap shape



Various Al patterns for shadow edges

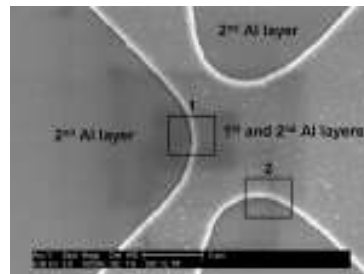


Nanogap on a straight Al stripe

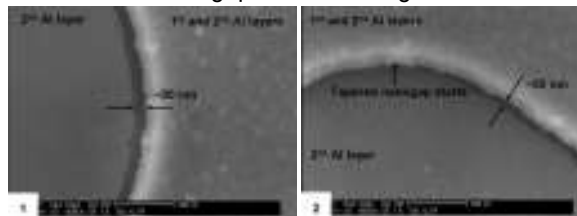


Cross-section of a nanogap

## Shadow gap shape (cont'd)

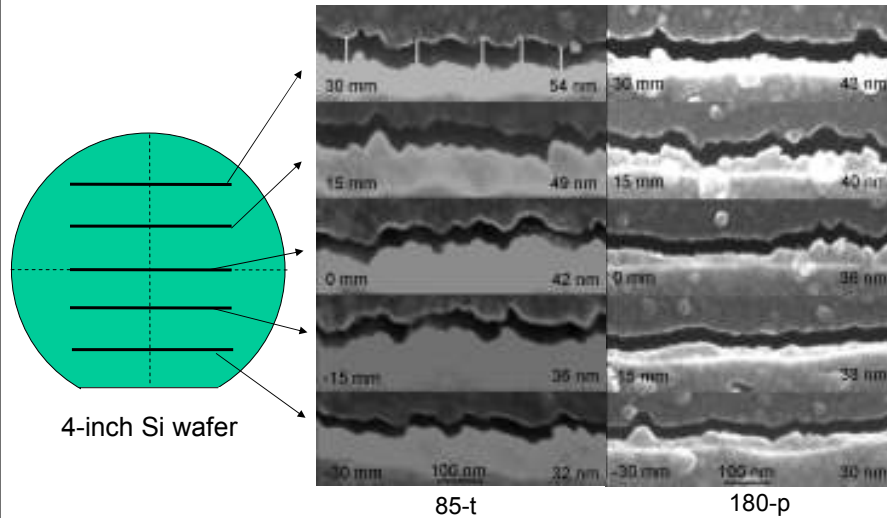


Shadow gap for curved edges

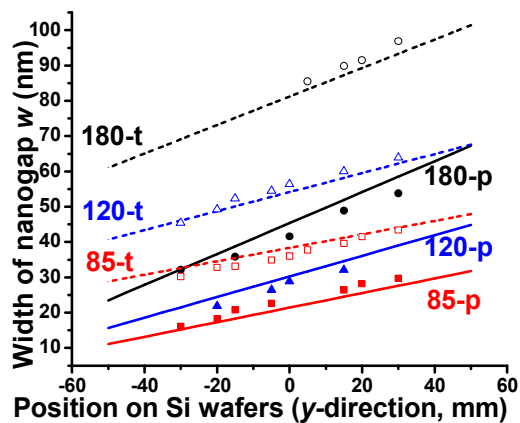


Crescent nanogaps; gradual variation of nanogaps due to the Al edge patterns

## Experimental Results —without Compensation

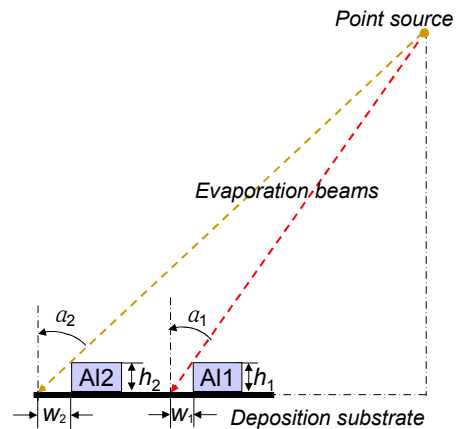


## Shadow gap variation on 4-in wafer



Nanogap width variation along the y-direction of the 4-inch Si wafers  
Approximately  $\pm 10$  nm variation in 60mm.

## Compensation for uniform gap width

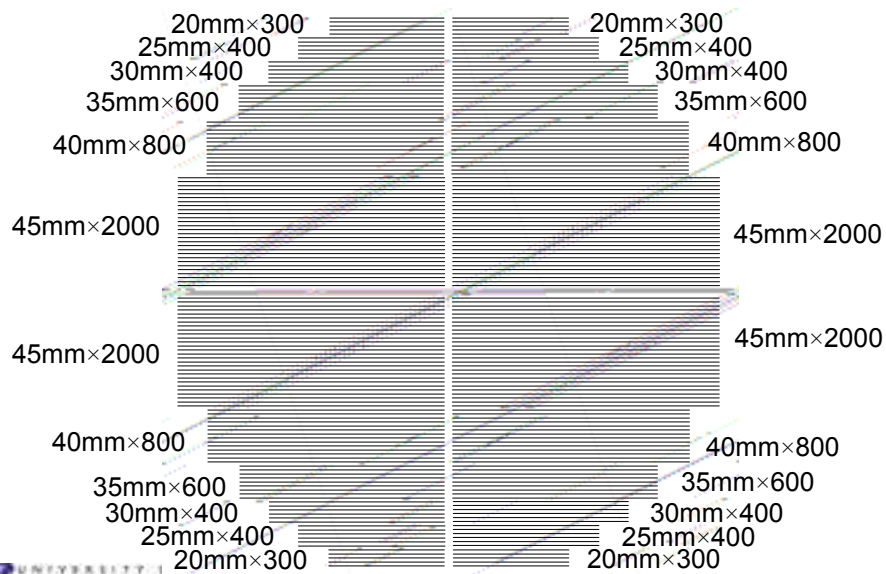


- When the deposition angle is  $\alpha$  and the thickness the pre-patterned Al layer is  $h$ , the nanogap width is

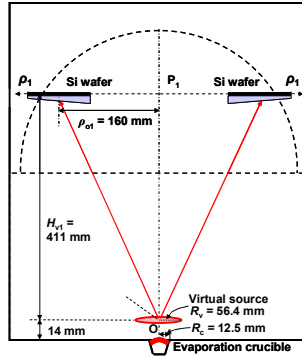
$$w = h \tan \alpha$$

- Gap width is varying due to the angle ( $\alpha$ ) change across wafer.
- The angle variation can be compensated by the height variation of the prepatterned Al.

## Mask pattern for compensation experiment



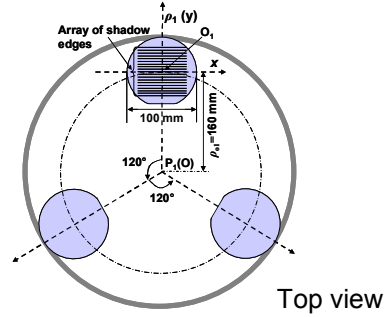
## Experimental—with Compensation During 1<sup>st</sup> Al Deposition



Side view

Assuming cosine distribution law for a planar area source:

$$h = A \cos \phi \cos \alpha / r^2$$



Top view

Al thickness distribution:

In  $\rho_1(y)$  direction for the central line ( $x=0$ ):

$$h(\rho_1) = h_0 / [1 + (\rho_1 / H_{vl})^2]^2$$

In  $x$  direction for the central line ( $y=0$ ):

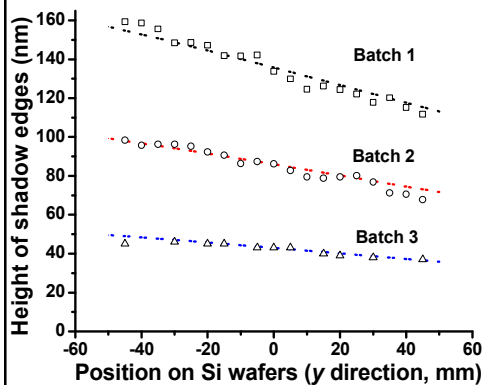
$$\frac{h(x)}{h(0)} = \frac{(H_{vl}^2 + \rho_{01}^2)^2}{(H_{vl}^2 + \rho_{01}^2 + x^2)^2} = \frac{(411^2 + 160^2)^2}{(411^2 + 160^2 + x^2)^2}$$



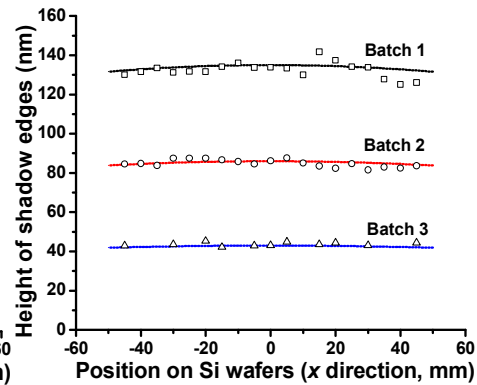
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## Experimental Results—with Compensation During 1<sup>st</sup> Al Deposition



Al thickness along y direction on Si wafer



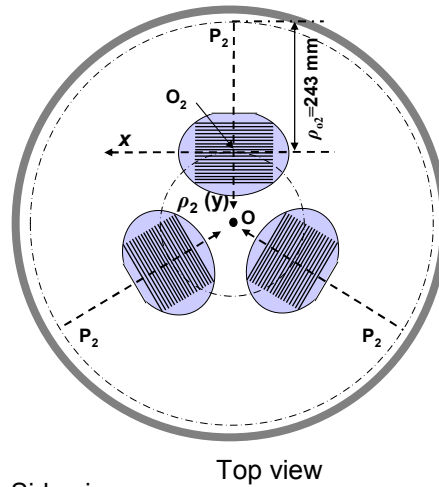
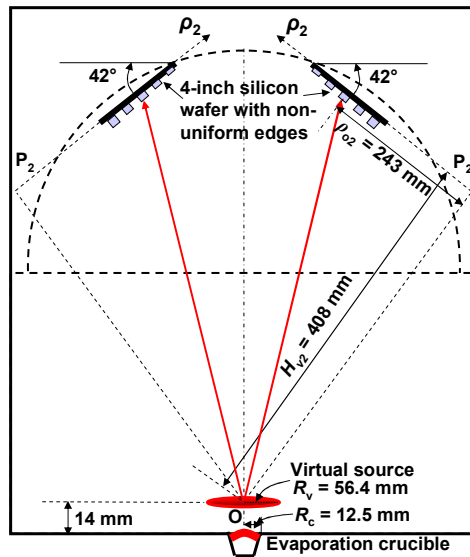
Al thickness along x direction on Si wafer



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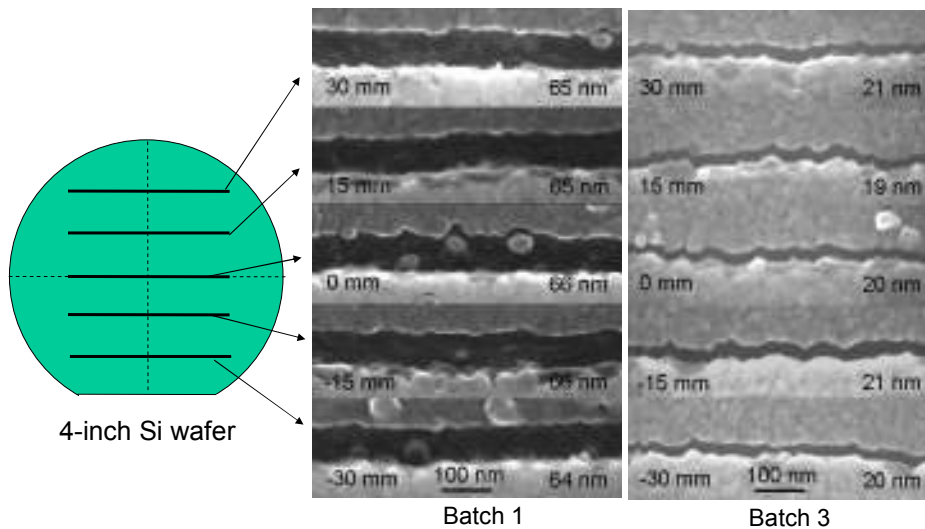
## Experimental—with Compensation During 2<sup>nd</sup> Al Deposition



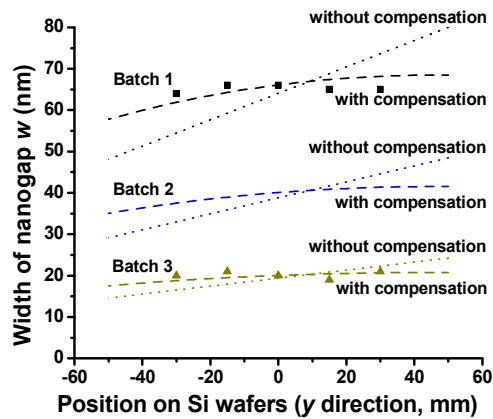
Side view

Top view

## Experimental Results with Compensation

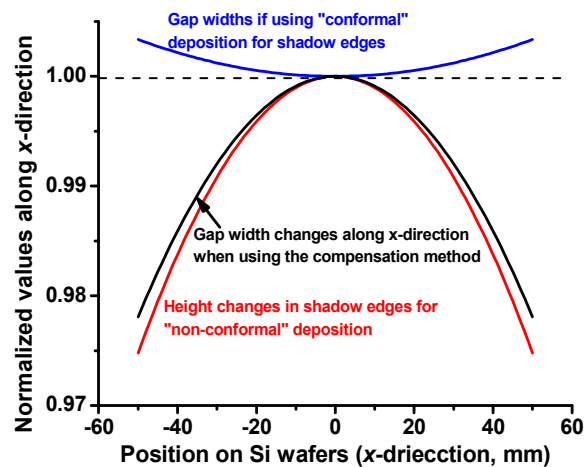


## Experimental Results —with Compensation



Nanogap width distribution along the y-direction of the 4-inch Si wafers  $\pm 1\text{nm}$  variation across 4in wafers.

## Nanogap Width along X Direction with Compensation



Normalized distribution along the x-direction of the 4-inch Si wafers  
Approximately 2% variation is expected, which is not measurable.

# **Extension of Shadow Edge Lithography**

## **Acknowledgement**

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Experimented by  
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WoonHong Yeo

## Reference

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